

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Brownlie et al.

EXAMINER:

SERIAL NUMBER:

ART GROUP:

FILING DATE: November 1, 2000

DOCKET NO: 0500.0008210

TITLE: Computer Network Security System and Method Having Unilateral Enforceable Security Policy Provision



Date: November 1, 2000

Honorable Commissioner of  
Patents and Trademarks  
Washington, D.C. 20231

**Information Disclosure Statement**  
**In Accordance with 37 CFR §§ 1.97 and 1.98**

Pursuant to 37 CFR §§ 1.97 and 1.98 applicant(s) hereby respectfully submits the following statement consisting of:

- I. A list of documents;
- II. General remarks.

A copy of each listed document is not enclosed herewith since they were cited in the co-pending parent application. Form PTO-1449 is also enclosed herewith.

**I. DOCUMENTS**

**U.S. Patents**

| <u>U.S. Patent No.</u> | <u>Inventor</u> | <u>Date</u> |
|------------------------|-----------------|-------------|
| 5/828,832              | Holden et al.   | 10/27/98    |
| 5,315,657              | Abadi et al.    | 5/24/4      |
| 6,067,620              | Holden et al.   | 5/23/00     |
| 5,265,164              | Matyas et al.   | 11/23/93    |
| 5,164,988              | Matyas et al.   | 11/17/92    |
| 5,204,961              | Barlow          | 4/20/93     |
| 5,577,209              | Boyle et al.    | 11/19/96    |

| U.S. Patent No. | Inventor          | Date    |
|-----------------|-------------------|---------|
| 5,621,889       | Lermuzeaux et al. | 4/15/97 |
| 5,606,668       | Shwed             | 2/25/97 |
| 5,745,574       | Muftic            | 4/28/98 |
| 5,218,676       | Ben-Ayed et al.   | 6/8/93  |
| 6,061,796       | Chen et al.       | 5/2000  |
| 5,148,481       | Abraham et al.    | 9/1992  |
| 5,987,611       | Freund            | 11/1999 |

## II. GENERAL REMARKS

The submission of the above documents is not an admission that the information is analogous or otherwise material. It is respectfully requested that the above listed documents be considered and made of record in the present application.

Respectfully Submitted,

By:   
Christopher J. Reckamp  
Registration No. 34,414

Markison & Reckamp, P.C.  
P.O. Box 06229  
Wacker Drive  
Chicago, Illinois 60606-0229  
Telephone: (312) 939-9800  
Facsimile: (312) 939-9828)